

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hideo ANDO et al.

SERIAL NO: New Divisional Application

GAU: To Be Assigned

FILED: Herewith

EXAMINER: To Be Assigned

FOR: INFORMATION RECORDING METHOD AND INFORMATION REPRODUCING METHOD



INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached forms PTO-1449 and PTO-892. Copies of the listed references were provided in the prior application serial number 09/311,272, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAYER & NEUSTADT, P.C.

Gregory J. Maier

Registration No. 25,599

James J. Kulbaski

Registration No. 34,648



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/98)

#4 S.W.H 2128102

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 217612US-2S DIV		SERIAL NO. New Divisional Application	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Hideo ANDO, et al.			
				FILING DATE HEREWITH		GROUP To be assigned	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	58-88978	5/27/83	Japan			X
	AP	60-236163	11/22/85	Japan			X
	AQ	2-206820	8/16/90	Japan			X
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



01/29/02

Notice of References Cited

Application/Control No.

New Divisional App.

Applicant(s)/Patent Under
Reexamination
ANDO ET AL

Examiner

To Be Assigned

Art Unit

Unassigned

Page 1 of 1

U.S. PATENT DOCUMENTS

* A	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification	
A	US-6,212,330-B1	04-2001	Yamamoto et al	388	95
B	US-6,181,870-B1	01-2001	Okada et al	388	95
C	US- -				
D	US- -				
E	US- -				
F	US- -				
G	US- -				
H	US- -				
I	US- -				
J	US- -				
K	US- -				
L	US- -				
M	US- -				

FOREIGN PATENT DOCUMENTS

* N	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
N	- -				
O	- -				
P	- -				
Q	- -				
R	- -				
S	- -				
T	- -				

NON-PATENT DOCUMENTS

* U	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)				
U					
V					
W					
X					

A copy of this reference is not being furnished with this Office action. (See MPEP § 707.03(a).)
 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References Cited

Application/Control No:
New Divisional App.

Applicant(s)/Patent Under
Reexamination
ANDO ET AL

Examiner
To be Assigned

Art Unit
Unassigned

Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,317,809 B1	11-2001	Kulakowski et al	711
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.